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Attorney's Docket No. 096990026127

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sidney A. Heenan et al.

Serial No.: 09/453,327

Filed: December 2, 1999

For: Retroreflective Articles Having Microcubes, and Tools and Methods for Forming Microcubes

COMPLETION OF FILING REQUIREMENTS

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

ATTENTION: Application Division

(check and complete this item, if applicable)

- I. ☒ This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed March 21, 2000.
- ☒ A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

- II. ☒ No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

OR

- ☐ The declaration or oath which was filed was determined to be defective. A new original oath or declaration is attached.

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that this correspondence is, on the date shown below, being:

MAILING  
FACSIMILE

☒ deposited with the United States Postal Service  
"Express Mail Post Office to Addressee" Service  
under 37 C.F.R. § 1.10 on the date indicated below  
and is addressed to the Assistant Commissioner of  
Patents, Washington, D.C. 20231  
Date: May 18, 2000

☐ transmitted by facsimile to the  
Patent and Trademark Office

Patricia A. Merrill  
Signature

Express Mail Mailing Label No. EK706134512US

Patricia Ann Merrill  
(type or print name of person certifying)

05/24/2000 TTRAN1 00000118 09453327

01 FC:105 130.00 OP  
02 FC:102 78.00 OP  
03 FC:103 18.00 OP

(complete (c) or (d), if applicable)

Attached is a

- (c) ☒ Statement by a registered attorney that the application filed in the PTO is the divisional application of United States serial no. 08/655,595, filed May 30, 1996 which the inventors executed by signing the declaration.
- (d) ☐ Statement that the "attached" specification is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.

#### **AMENDMENT CANCELLING CLAIMS**

- III. ☐ Cancel claims \_\_\_\_\_ inclusive.

#### **TRANSMITTAL OF ENGLISH TRANSLATION OF NON-ENGLISH LANGUAGE PAPERS**

- IV. ☐ Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.

#### **SMALL ENTITY STATUS**

- V. ☐ A verified statement that this filing is by a small entity

(check and complete applicable items)

- ☐ is attached
- ☐ A separate refund request accompanies this paper.
- ☐ was filed on \_\_\_\_\_ (original)

## COMPLETION FEES

### VI.

**WARNING:** Failure to submit the surcharge fees where required will cause the application to become abandoned. 37 CFR 1.53(d).

1. Filing fee
    - ☐ original patent application (37 CFR 1.16(a)—\$730.00;  
small entity—\$365.00 \$ \_\_\_\_
    - ☐ design application (37 CFR 1.16(f)—\$300.00;  
small entity—\$150.00 \$ \_\_\_\_
  2. Fees for claims
    - ☐ each independent claim in excess of 3 (37 CFR 1.16(b)—  
\$76.00; small entity—\$38.00) \$ \_\_\_\_
    - ☐ each claim in excess of 20 (37 CFR 1.16(c)—\$22.00;  
small entity—\$11.00) \$ \_\_\_\_
    - ☐ multiple independent claim(s) (37 CFR 1.16(d)—\$240.00;  
small entity—\$120.00) \$ \_\_\_\_
  3. Surcharge fees
    - ☐ late payment of filing fee and/or
    - ☒ late filing of original declaration or oath  
37 CFR 1.16(e)—\$130.00; small entity—\$65.00); \$130.00
  4. ☐ Petition and fee for filing by other than all  
the inventors  
or a person not the inventor  
(37 CFR 1.17(h) and 1.47—\$130.00) \$ \_\_\_\_
  5. ☐ Fee for processing an application filed with a  
specification in a non-English language  
(37 CFR 1.17(k) and 1.52(d)—\$130.00) \$ \_\_\_\_
  6. ☐ Fee for processing and retention of application  
(37 CFR 1.21(l) and 1.53(d)—\$300.00) \$ \_\_\_\_
  7. ☒ Assignment (See "ASSIGNMENT COVER SHEET".) \$ \_\_\_\_
- Total completion fees \$130.00

## EXTENSION OF TIME

VII.

(complete (a) or (b) as applicable)

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136(a) apply.

- (a) ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d) for the total number of months checked below:

<u>Extension (months)</u>	<u>Fee for other than small entity</u>	<u>Fee for small entity</u>
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 370.00	\$ 185.00
<input type="checkbox"/> three months	\$ 870.00	\$ 435.00
<input type="checkbox"/> four months	\$1,360.00	\$ 680.00

Fee \$ \_\_\_\_\_

If an additional extension of time is required please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for \_\_\_\_\_ months has already been secured and the fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ \_\_\_\_\_

or

- (b) ☒ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

## TOTAL FEE DUE

VIII.

The total fee due is

Completion fee(s)	\$ 130.00
Extension fee (if any)	\$ 0

TOTAL FEE DUE \$130.00

## PAYMENT OF FEES

IX.

- ☒ Enclosed is a check in the amount of \$ 130.00
- ☐ Charge Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_  
A duplicate of this request is attached.

**AUTHORIZATION TO CHARGE ADDITIONAL FEES**

X.

**WARNING:** *Accurately count claims, especially multiple dependant claims, to avoid unexpected high charges if extra claims are authorized.*

- ☒ The Commissioner is hereby authorized to charge the following additional fees which may be required by this paper and during the pendency of this application to Account No. 10-1202\_
- ☒ 37 CFR 1.16(a), (f) or (g) (filing fees)
- ☒ 37 CFR 1.16(b), (c) and (d) (presentation of extra claims)
- ☒ 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- ☒ 37 CFR 1.17 (application processing fees)

**WARNING:** *While 37 CFR 1.17(a), (b), (c) and (d) deal with extensions of time under § 1.136(a) this authorization should be made only with the knowledge that: "Submission of the appropriate extension fee under 37 CFR 1.1367(a) is to no avail unless a request or petition for extension is filed." (Emphasis added). Notice of November 5, 1985 (1060 O.G. 27).*

- ☐ 37 CFR 1.18 (issue fee at or before mailing Notice of Allowance, pursuant to 37 CFR 1.311(b))

Respectfully submitted,



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